



JC852 U.S. PTO

07-16-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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US PRO 08/20/01  
1036 60 70 70 28 25**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))Attorney Docket No. 42390.P6488CFirst Named Inventor Shah-Nazaroff et al.Title: \_\_\_\_\_  
Express Mail Label No. EL807366820USADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231****APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

1.  **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.  **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.  **Specification (Total Pages 29)**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  **Drawings(s) (35 USC 113) (Total Sheets 7)**
5.  **Oath or Declaration (Total Pages 4)**
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  **Application Data Sheet. (37 CFR 1.76)**
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail mailing label number: EL807366820US  
 I hereby certify that I am causing the above item to be  
 deposited in the United States Post Office or  
 Mail Boxes, Inc. to be delivered to the  
 above and that the paper or fee has been  
 sent to the Assistant Commissioner for Patents, U.S.  
 Patent and Trademark Office, Washington, D.C.  
 on July 12, 2001  
 Date of mailing

April Worley  
 April Worley  
 Signature 7/12/01  
 Date

ACCOMPANYING APPLICATION PARTS

9.  **Assignment Papers (cover sheet & documents(s))**  
10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
       b. Power of Attorney  
11.  English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
       b. Copies of IDS Citations  
13.  **Preliminary Amendment**  
14.  **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
17.  Other: Express Mail Certificate

18A. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation      Divisional      Continuation-in-part (CIP)  
Of Prior Application No.: 09/186,302      Examiner Chris Grant      Group Art Unit 2611

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

For **CONTINUATION AND DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that \_\_\_\_\_ (name of assignee) is  
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an  
assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_  
(or a copy of which is attached).

19. **Correspondence Address**

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Gordon R. Lindeen III

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (303)740-1980 FAX (303)740-6962

Name (PRINT/TYPE): Gordon R. Lindeen III Registration No.: 33,192

Signature:  Date: 7/12/11

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$970.00

Complete if Known:

Application No. \_\_\_\_\_

Filing Date Concurrently HerewithFirst Named Inventor Shah-Nazaroff et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 42390.P6488C

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

EXPRESS MAIL CERTIFICATE OF MAILING

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Express Mail number EL807366820US

Applicant claims small entity status. See 37 CFR 1.27

I hereby certify that I am causing the above and following paper or fee listing to be deposited with the United States Postal Service as First Class Mail Post Office Box 9000, Washington, DC 20532, to the Assistant Commissioner for Patents, 1200 37th Street, Washington, DC 20532.

2.  Payment Enclosed:  Check \_\_\_\_\_  
 Credit Card \_\_\_\_\_  
 Money Order \_\_\_\_\_  
 Other \_\_\_\_\_

July 12, 2001

Date of filing

## FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	710	201	355
106	320	206	160
107	490	207	245
108	710	208	355
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$710.002. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <u>30</u> - 20** = <u>10</u>	X <u>18.00</u>	= <u>180.00</u>
Independent Claims <u>4</u> - 3** = <u>1</u>	X <u>80.00</u>	= <u>80.00</u>
Multiple Dependent		

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	80	202
104	270	204
109	80	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 260.00

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>			<u>Fee Description</u>		<u>Fee Paid</u>
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)				
105	130	205	65		Surcharge - late filing fee or oath		
127	50	227	25		Surcharge - late provisional filing fee or cover sheet		
139	130	139	130		Non-English specification		
147	2,520	147	2,520		For filing a request for ex parte reexamination		
112	920*	112	920*		Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		
115	110	215	55		Extension for reply within first month		
116	390	216	195		Extension for reply within second month		
117	890	217	445		Extension for reply within third month		
118	1,390	218	695		Extension for reply within fourth month		
128	1,890	228	945		Extension for reply within fifth month		
119	310	219	155		Notice of Appeal		
120	310	220	155		Filing a brief in support of an appeal		
121	270	221	135		Request for oral hearing		
138	1,510	138	1,510		Petition to institute a public use proceeding		
140	110	240	55		Petition to revive – unavoidable		
141	1,240	241	620		Petition to revive - unintentional		
142	1,240	242	620		Utility issue fee (or reissue)		
143	440	243	220		Design issue fee		
144	600	244	300		Plant issue fee		
122	130	122	130		Petitions to the Commissioner		
123	130	123	130		Petitions related to provisional applications		
126	180	126	180		Submission of Information Disclosure Stmt		
581	40	581	40		Recording each patent assignment per property (times number of properties)		
146	710	246	355		For filing a submission after final rejection (see 37 CFR 1.129(a))		
149	710	249	355		For each additional invention to be examined (see 37 CFR 1.129(b))		
179	710	279	355		Request for Continued Examination (RCE)		
169	900	169	900		Request for expedited examination of a design application		

Other fee (specify) \_\_\_\_\_

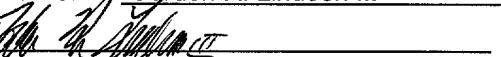
Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$0.00

\*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Gordon R. Lindeen III

Signature:  Date: 7/12/11

Reg. Number: 33,192 Telephone Number: 303-740-1980

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Serial/Patent No.:

Filing/Issue Date: Concurrently Herewith

Client: Intel Corporation

Title: Method and Apparatus for Collecting and Providing  
Viewer Feedback to a Broadcast

BSTZ File No. 423910, P0488C

Atty/Secty Initials: GRJ/nmw

Date Mailed: July 12, 2001

Docket Due Date: —

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (\_\_\_\_ pgs.)  
 Appeal Brief (\_\_\_\_ pgs.) (in triplicate)  
 Application - Utility (22 pgs., with cover and abstract)  
 Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)  
 Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)  
 Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)  
 Application - Design (\_\_\_\_ pgs.)  
 Application - PCT (\_\_\_\_ pgs.)  
 Application - Provisional (\_\_\_\_ pgs.)  
 Assignment and Cover Sheet  
 Certificate of Mailing  
 Declaration & POA (4 pgs.) copy from parent  
 Disclose Dcs & Org & Cpy of Invenos Signed Letter (\_\_\_\_ pgs.)  
 Drawings: \_\_\_\_ # of sheets includes 7 figures  
 Express Mail No. EL807366820US  Check No. 1092  
 \_\_\_\_\_ Month(s) Extension of Time  Amt: 970.00  
 Information Disclosure Statement & PTO 144 (4 pgs.)  Check No. \_\_\_\_\_  
 Issue Fee Transmittal, in duplicate  
 Notice of Appeal  
 Petition for Extension of Time  
 Petition for \_\_\_\_\_  
 Postcard  
 Power of Attorney (\_\_\_\_ pgs.)  
 Preliminary Amendment (\_\_\_\_ pgs.)  
 Reply Brief (\_\_\_\_ pgs.)  
 Response to Notice of Missing Parts  
 Small Entity Declaration for Indep. Inventor/Small Business  
 Transmittal Letter, in duplicate  
 Fee Transmittal, in duplicate

Other: Express Mail Certificate

EL807366820US

"Express Mail" mailing label number: EL807366820US

Date of Deposit: July 12, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

April Worley  
(Typed or printed name of person mailing paper or fee)

April Worley  
(Signature of person mailing paper or fee)

7/12/01  
(Date signed)